

**Notice of References Cited**

Application/Control No.

10/810,107

Applicant(s)/Patent Under  
Reexamination  
STAUB, RENATO

Examiner

Ed Baird

Art Unit

3693

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**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,687,681	02-2004	Schulz et al.	705/36T
*	B	US-7,177,831	02-2007	O'Shaughnessy et al.	705/36R
	C	US-			
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	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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	V	Lauricella: "Making Rebalancing Automatic --- Firms Now Offer an Option Many Investors Find Hard To Carry Out on Their Own", Wall Street Journal. (Europe), Brussels: Feb 17, 2003. pg. M.4
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.